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PTO/SB/21 (02-04)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/786,475	
	Filing Date	24 February 2004	
	First Named Inventor	Kornicka, Karel	
	Art Unit	2857	
	Examiner Name		
Total Number of Pages in This Submission	21	Attorney Docket Number	100/1046-20

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Deana A. Arnold, Ph.D. (Reg. No. 52,567)	
Signature		
Date	July 12, 2006	

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Deana A. Arnold, Ph.D.		
Signature		Date	July 12, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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July 12, 2006

Signed:

Deana A. Arnold, Ph.D.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

KONVICKA, KAREL, et al.

Application No.: 10/786,475

Filed: February 24, 2004

For: Improvements to Analysis Methods for
Individual Genotyping

Examiner:

Art Unit: 2857

Confirmation No.: 9333

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR
§1.97 AND §1.98

Mail Stop Amendment
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Sir:

The references cited on the attached form PTO/SB/08B are being called to the attention of the Examiner. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement

and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

☒ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):


- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage
-- OR --
- ☒ (3) It is being filed before the mail date of the first Office Action on the merits
-- OR --
- ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

☒ *Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of \$0 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 50-2043 (Docket No. 100/1046-20).

Respectfully submitted,

PERLEGEN SCIENCES, INC.

Dated: July 12, 2006

By: 
Deana A. Arnold, Ph.D., Reg. No. 52,567

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PTO/SB/08B (08-03)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/786,475
Filing Date	February 24, 2004
First Named Inventor	Konvicka, Karel
Art Unit	2857
Examiner Name	
Attorney Docket Number	100/1046-20

Sheet	1	of	1
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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1.	Excoffier, et al. Maximum-Likelihood Estimation of Molecular Haplotype Frequencies in a Diploid Population. Mol. Biol. Evol. (1995) 12(5):921-927.	
	2.	Geever, et al. Direct Identification of sickle cell anemia by blot hybridization. Proc. Natl. Acad. Sci. USA (1981) 78(8):5081-5085.	
	3.	Niu, et al. Bayesian Haplotype Inference for Multiple Linked Single-Nucleotide Polymorphisms. Am. J. Hum. Genet. (2002) 70:157-169.	
	4.	Anderson, et al. Global Approaches to Quantitative Analysis of Gene-Expression Patterns Observed by Use of Two-Dimensional Gel Electrophoresis. Clin. Chem. (1984) 30(12):2031-2036.	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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